

**Compliance Officer
Peppertree Capital Management, Inc
57 E. Washington Street
Chagrin Falls, Ohio 44022
Phone: 440.528.0333**

**Compliance Officer
Continental Towers
!5 Avenida 17-80 zona 13
Torre Mundial I, noveno nivel
Teléfono: 25059000**

April 23th, 2024

To whom it may concern:

I am writing to bring to your attention a concerning issue regarding compliance. As a woman, victim of family violence perpetrated by my husband, Jorge Alberto Gaitán Castro, I raise serious concerns due to financing legal advice of lawyers that are paid by Peppertree Capital Management, Inc., of a personal family matter.

My husband, Jorge Alberto Gaitán Castro, has for many years inflicted economic and psychological violence against me, his wife. I am a victim of his verbal, emotional and psychological abuse, male controlling behavior, as well as financial and economic abuse, making me pay for all our family expenses, even though he has a considerable income from his salary, paid by Peppertree Capital Management, Inc. I am also a victim of harmful masculine behaviors, including having multiple partners, antisocial personality and character assassination and alienation from my daughters. He has degraded me in front of my daughters, family and friends, constantly belittling me, having his "friend" Carol Echeverria, taken an active part in it. All of it Misogynous patterns.

This situation has escalated rapidly due to the different criminal investigations he is subject to, by the Attorney General Office of El Salvador and Guatemala. He has even gone to the extreme of practicing a type of Santeria like rituals, to help him to evade justice, harm other

people and to harm me, requesting for me to be abandoned by my daughters, to leave me without a roof over my head, and property of any kind.

Being all this a family violence issue, a personal legal matter, completely independent of his relationship to by Peppertree Capital Management, Inc., I am aware that lawyers retained and hired by the company (Carrillo Law and Ana Alejos) are the ones advising him. Furthermore, he has asked me to consult Lawyer Callejas, which I did, to advise me on how to proceed in different matters. Recently, he has stated that under the advice of Lawyer Alejos (who is supposed to be an international advocate for women's rights), he couldn't speak to me, ignoring me, causing further psychological trauma, and degrading me even more.

I believe that these acts may constitute compliance breaches; Peppertree Capital Management, Inc. paying for lawyers that represent him and advise him in family matters, that could potentially lead to legal repercussions.

I am addressing this matter, bringing it up to you, as the compliance officer, in the hope that appropriate action will be taken to fully investigate the financing of Mr. Gaitán's legal representation, rectify the situation and to stop all funding of his legal advice regarding family matters, and any legal advice of Carrillo Law and Ana Alejos, due to their unethical actions.

Additionally, I would appreciate being kept informed of the progress and any actions taken to address the issues raised in this complaint.

Let me know if you require any further information, as I am committed to ensuring this matter is resolved immediately, in full compliance with all applicable laws, regulations, and internal policies.

Sincerely,



María Cristina González Flores de Gaitán
Avenida las Américas 15-80, zona 13
Edificio Cobira, Torre III, Apartamento 5C
Guatemala City, Guatemala, 01014

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A handwritten signature in black ink, appearing to be 'P. H. H.', written over a horizontal line.

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